

VIVIAN GREESE

PROFILE *Perform domestic & international regulatory compliance. Preparation of Business and Risk Assessment Analysis for various business settings. In depth Due Diligence.*

-
- EXPERIENCE**
- Project Based Consultant/Contract 2010 - Present***
McGladrey, Central Payments Systems, ITC Systems, First Union Bank, RBS, and Sumitomo Holdings
- *Business Analysis, Risk Assessments, and Fraud Analysis*
 - *AML, BSA, SARS, and KYC Compliance*
 - *Facilitation and follow-ups for Due Diligence Compliance of third-party vendors*
 - *Recommendations, proposals, implementation plans, and conflict resolution*
 - *Development, deployment projects, and vendor management*
 - *Client Onboarding and progression*
 - *Preparation and distribution of quarterly/yearly summarized reports, and implementations*
- Compliance Analyst/Contract – LPS 2002 - 2010***
Sumitomo Holdings, Sumitomo Wiring, Eagle Industries, Fruit of the Loom, CIT Bank, HSBC Bank, R. L. Polk, Various law firms to include Insurance, Bankruptcy, and Family Law.
- *Knowledge and execution of regulatory filings and authorizations*
 - *Performs Due Diligence credentialing, trademark, and copyrights*
 - *Conflict resolution, negotiation, and facilitation*
 - *Draft SARS, AML, KYC reports, and Documentation Compliance*
 - *Research and analyze account activity and risk assessments, and audits*
 - *Managerial procedures, product management, and methodology*
 - *Grant, contract proposal development, and contract licensing*
 - *Review CSR (Corporate and Social Responsibility)*
 - *Ensure Compliance applicable Federal Regulations and State Statutes related to all company requirements and/or global requirements*
- Investment Associate/W. Johns and Co., CXA 2000 - 2002***
- *Corporate Due Diligence and Background Checks*
 - *Venture Capital projects, capital formation, M&A participation*
 - *Compliance and procedure/managerial procedures and media*
 - *Corporate and management profiles/regulatory reporting*
 - *Development of a bi-monthly newsletter and marketing materials*
 - *Designing website features, Speech writer, and trade show participation*
 - *Contractual and Purchasing Procurement, Document Procurement, and Vendor Audits*
 - *Preparation of Federal Reserve Regional Chairman Media and Board Notes*

EDUCATION

Western Kentucky University, Bowling Green, KY
■ B. S. Finance (Management)

SKILLS & INTERESTS

Research and Analytics • Corporate Due Diligence • Risk Assessment •
Grant Writing • Property Management • Property Remodeling • Non-
Profit Volunteer •