

Audrey Nadler

Compliance Consultant

Coconut Creek, FL

nadleraudrey@yahoo.com

+1 954 348 3035

Willing to relocate: Anywhere

Authorized to work in the US for any employer

Work Experience

Compliance Consultant;

Life Synergies Group, Inc

January 2013 to January 2019

Counsel

Liberty Settlement Solutions, LLC

October 2011 to August 2012

Responsibilities included, Management of company pipeline from initial State Disclosure Document to final funding approval, including supervision of underwriting, file review and processing, review of pleadings, drafting and review of Court Orders and stipulations, selection and management of outside counsel. Reviewed and approved all files for funding. Preparation of company Non-Disclosure Agreements, Employment Agreements and Termination Releases, Negotiation, review and maintenance of corporate agreements, Preparation and maintenance of organizational documents

Associate Counsel

Structured Asset Funding, LLC

November 2007 to June 2011

Responsibilities included: Management of 20 state case pipeline from transmittal of State Disclosure Documents to final funding approval, including supervision of underwriting, file review and processing, review of Petitions and Applications and drafting and review of Court Orders and Stipulations, management of outside counsel and supervision of staff, worked closely with in-house legal departments and outside counsel for major insurance companies, Implemented Life Expectancy Report project for Life Contingent Structured Settlement Transfers, including HIPAA compliance. Prepared State Viatical/Life Settlement Provider Applications, prepared and effectuated various corporate filings with state and federal agencies, drafted process manuals and underwriting guidelines, prepared various contract forms for the purchase of structured settlement payment streams and designed and conducted educational programs for sales and support staff.

In House Counsel; Senior Legal Compliance

Peachtree Life Settlements - Boca Raton, FL

March 2004 to April 2007

Compliance Coordinator

Responsibilities included: Coordination of Legal and Compliance file review and processing, determination of governing law for life settlement/viatical transactions, anti-fraud review of medical records, analysis of Life Expectancy reports, negotiation of Viatical/Life Settlement Purchase Agreements

with Settlers' counsel or Brokers, participation on teams responsible for: facility allocations; creation of legal and compliance file review checklists; and creation of facility allocation file review checklists, liaison with sales staff and Life/Viatical Settlement broker regarding licensing requirements, liaison with Life/Viatical Settlement brokers regarding Annual Report data, preparation of State Viatical and Life Settlement Provider Applications; preparation and filing of Life/Viatical Settlement Annual Reports; preparation and filing of license renewals, preparation of memorandums regarding Limited Liability Companies, Partnerships and trusts and legal research

Senior Paralegal

Klayman & Toskes, P.A - Boca Raton, FL
December 2002 to June 2003

Responsibilities included drafting of Statements of Claim, Discovery, motions, pre and post hearing briefs, settlement agreements, preparation of trial indexes, legal research, maintenance of office calendar and discovery database.

Paralegal

Law Offices of Allan M. Lerner, P.A - Fort Lauderdale, FL
September 1999 to November 2002

Responsibilities included drafting and preparation of recurring SEC filings, such as Form 3, 4, 5, Form D, Form 10-Q, 10K and Form 8K, maintenance of corporate books, records and Meeting Minutes, research and prepare listing applications to the New York Stock Exchange, preparation of corporate filings, Rule 144 and 145 opinion letters, State Blue Sky filings, drafting of private placement memorandums, subscription documents, pleadings, contracts, settlement agreements, maintenance of office calendar.

Education

J.D.

New York Law School
1984

B.A.

Manhattanville College
1982

Skills

- Legal Research
- Legal Drafting
- Underwriting
- HIPAA